

Appendix to Appendix B Data request

The Scheme Advisory Board and Pensions Regulator require Funds to reduce employer risk wherever possible. Therefore, the Fund has chosen to consider employer risk and the information you provide will assist Fund Officers in determining this. Failure to provide information may require Fund Officers to make assumptions. Please can you consider forwarding information as requested below. **Please note all correspondence will be treated in the strictest of confidence.**

In addition please email the last 2 years of financial statements and any relevant information we may use to develop our understanding of the stability of the employer

We appreciate you are under no obligation to provide us with an early view of your accounts whilst they are not finalised, however we will appreciate it if you can do so. These accounts will not be held on the general network and files will be password protected.

Past 2 yrs and Forecast 2 yr Balance Sheet info

	dd/mm/yy	dd/mm/yy	dd/mm/yy	dd/mm/yy	Notes
BS - Tangible Fixed assets £m					
BS - Cash and cash equivalents £m					
BS - Total current assets £m					
BS - Net current assets £m					
BS - Liabilities falling due > 1 yr £m					

Past 2 yrs and Forecast 2 yr P&L info

	dd/mm/yy	dd/mm/yy	dd/mm/yy	dd/mm/yy	Notes
P&L - total income £m					
P&L - all staff costs £m					
P&L - total expenditure £m					
P&L - operating profit/loss £m					state exceptionals or adjustments here

Business plan - capital

	21/22	22/23	23/24	Notes
Total capital spend forecasted by year £m				
How much of this capital is committed £m				
Capital funding sources, clarify in notes				
Is the forecasted capital reflected in the forecasted financial statements, enter notes to clarify				

Total forecast cash costs by year for each retirement scheme include new contribution rates in notes - assume continuation of last available rate if unknown

	20/21	21/22	22/23	23/24	Notes
LGPS £m					
TPS £m					
USS £m					
Other DB [enter name] £m					
Other DC (aggregate costs) £m					

ESFA data

	18/19	19/20	20/21	21/22	Notes
ESFA Rating					
Adjusted current ratio					
EBITDA as a % of income - education specific					
Borrowing as a % of income					

Have any other pension schemes been setup during the year to April 2021 or are you in the process of setting up additional new schemes? Provide brief details	
Do any other pension scheme administrator have a bond or similar gaurentee in place to protect the scheme administrator? Provide brief details.	
Latest funding levels for each (non LGPS) DB scheme including latest actuarial valuation date, discount rate employed and agreed employer funding rate	
Outsourcing of staff, how many staff in the year have been outsourced that are members of the LGPS? Provide summary details of schemes eg 15 moved from LGPS to a DC scheme average employer rate x% with declining ate to y% after x years	
Are there any factors that Pension Fund Officers should be aware of to help them determine your employer risk to the Fund	
Please provide documents to support your reply, e.g independent assessments of financial health, credit ratings, Education and Skills Funding Agency assessment	

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